

"19-line" form of the S₂ multiline signal in maximum yield upon subsequent illumination either by a single flash at 0 °C or by continuous illumination at 195 K.

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Registry No. O₂, 7782-44-7; Mn, 7439-96-5.

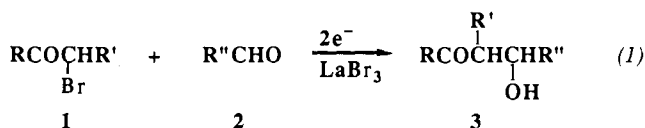
Mechanism of the Lanthanum Bromide Assisted Electrochemical Aldolization of α -Bromo Ketones

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Abstract: Linear sweep voltammetry, preparative electrolyses under a variety of experimental conditions, and trapping experiments have been used to explore the mechanism of the formation of the aldol 2-benzoyl-1-phenylpropanol by electrochemical reduction of α -bromopropiophenone in the presence of benzaldehyde and lanthanum bromide. The aldol condensation occurs by reaction of the free (lithio) enolate with a lanthanum bromide-benzaldehyde-tetrahydrofuran complex. Electrochemical reduction of the bromo ketone forms the Z enolate highly stereospecifically. The erythro aldol is formed stereoselectively initially, but the condensation is reversible, and the equilibrium mixture of aldols, containing mostly the threo isomer, is isolated from the electrolysis.

We recently described the formation of β -hydroxy ketones (aldols) from the electrochemical reduction of α -bromo ketones (1) in the presence of aldehydes (2) and lanthanide salts (eq 1).¹

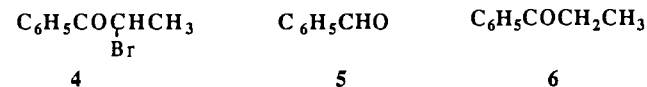


Yields of aldol (3) were 0–1% in the absence of a lanthanide salt, but were up to 70% or more when such a salt was included in the reaction medium.¹ Relatively little is known concerning the effects of added metal ions on the electrochemical reduction of organic substances,^{2,3} although one would expect that such effects ought to be substantial. This reaction represents a convenient system for investigating such effects: (a) the presence of the lanthanide salt is required for successful aldol formation; (b) the reduction potentials of the components of the electrode reaction, i.e., bromo ketones, aldehydes, and lanthanide salts are well separated (vide infra), removing any doubts concerning what the actual electroactive species might be; and (c) solutions of lanthanum halides at moderate concentrations in tetrahydrofuran are homogeneous, making the interpretation of voltammograms easier. We report herein the results of a study of the lanthanide-assisted aldolization of α -bromo ketones, using voltammetric methods, preparative electrolyses, and trapping experiments, which greatly clarifies the mechanism of the electrode reaction, including the complex role played by the metal ion.

Results

Voltammetry. Voltammetric measurements were carried out in tetrahydrofuran (THF) containing 0.5 M LiClO₄ (this relatively

high supporting electrolyte concentration was employed to minimize uncompensated *iR* effects arising from the high electrical resistance of THF).⁴ Linear potential sweep and cyclic voltammetric experiments were carried out at a freshly polished glassy carbon electrode at a sweep rate of 200 mV s⁻¹; potentials were measured relative to a Ag/0.1 M AgNO₃ reference electrode.⁵ The compounds studied, alone and in various combinations, were α -bromopropiophenone (4), benzaldehyde (5), propiophenone (6),



and anhydrous LaBr₃. The latter substance is insoluble in THF when initially added to the medium, but gradually dissolves as the mixture is stirred over a 15-min interval; a number of recent reports support the presumption that solubilization is associated with the complexation by LaBr₃ of at least three and possibly four molecules of THF.⁶

The voltammogram of bromo ketone 4 is shown as the solid line in Figure 1. Several features of this voltammogram require comment. The large peak at ca. -1.5 V corresponds to the electrolytic removal of the bromine atom, with concomitant conversion of 4 to the corresponding enolate (7).⁷ There is a second, smaller, peak at ca. -2.1 V, close to the corresponding value for propiophenone (6) as determined in a separate experiment. More interestingly, there is a pronounced minimum in the voltammetric current at ca. -1.95 V. This is distinctly different behavior from that exhibited by a compound which is reduced in two consecutive steps with no intervening chemical reaction.⁸ Instead, the voltammogram of 4 closely resembles that expected for a situation wherein the electroactive substance is reduced to

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(3) (a) There is a very large literature on metal ions as mediators of electrochemical behavior,^{3b} but generally in such reactions the metal ion is the electroactive species; we are concerned in the present instance with reactions in which the electroactive species is an organic substrate whose electrochemical behavior is altered by the presence of the metal salt. (b) Torii, S. *Synthesis* **1986**, 873.

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(5) The potential of this electrode is +0.35 V versus SCE.

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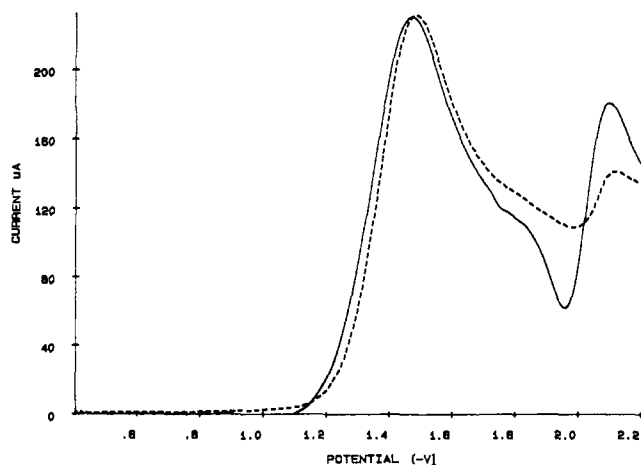


Figure 1. Solid line: voltammogram of α -bromopropiophenone (3.7 mM) at a glassy carbon electrode vs Ag/0.1 M AgClO₄, in 0.5 M lithium perchlorate-tetrahydrofuran. Dotted line: diethyl malonate (22 mM) added.

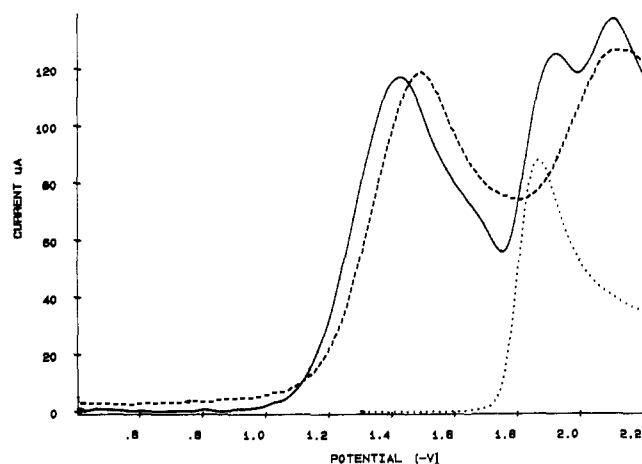
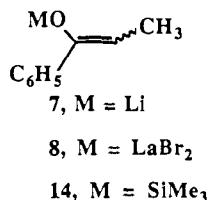


Figure 2. Dotted line: voltammogram of benzaldehyde (3.9 mM) at a glassy carbon electrode vs Ag/0.1 M AgClO₄, in 0.5 M lithium perchlorate-tetrahydrofuran. Solid line: Voltammogram of a mixture of α -bromopropiophenone (1.83 mM) and benzaldehyde (1.97 mM). Dashed line: voltammogram of a mixture of α -bromopropiophenone (1.83 mM), benzaldehyde (1.97 mM), and lanthanum bromide (2.00 mM).

afford a product which then proceeds to destroy more electroactive substance as it diffuses toward the electrode.⁹ This suggests that the electrochemically generated enolate **7** reacts with unreacted



4, perhaps by proton interchange between the two; this hypothesis was supported by the disappearance of the current dip at -1.95 V upon addition to the voltammetric solution of excess diethyl malonate (dashed line in Figure 1). Diethyl malonate is an efficient proton donor toward electrochemically generated carbanions.¹⁰ There is also literature precedent for proton exchange between α -halo ketones and enolates generated electrochemically from them.¹¹ The voltammogram of a solution containing bromo

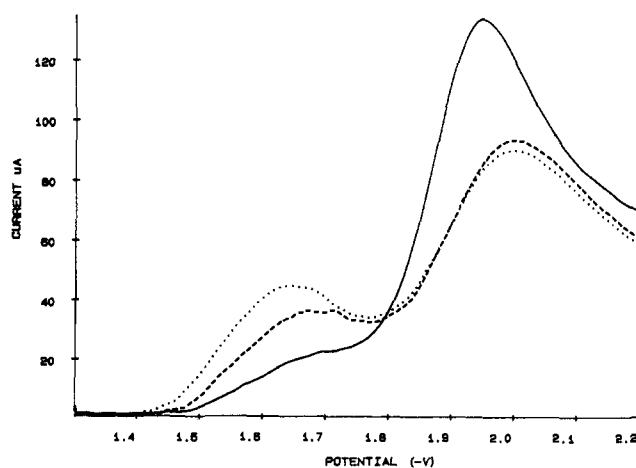
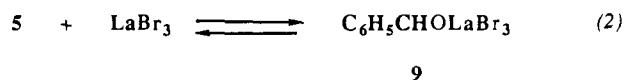


Figure 3. Voltammograms of a mixture of benzaldehyde (3.94 mM), and lanthanum bromide (solid line, 3.98 mM; dashed line, 7.89 mM; dotted line, 11.8 mM) at a glassy carbon electrode vs Ag/0.1 M AgClO₄, in 0.5 M lithium perchlorate-tetrahydrofuran.

ketone **4** and LaBr₃ (3.6 mM each) does not exhibit the current dip associated with the proton exchange process; apparently enolate **7** is trapped by lanthanum bromide to afford a lanthanum enolate (**8**) which is sufficiently nonbasic and otherwise unreactive that it does not react with **4**.

The voltammogram of benzaldehyde (**5**) is shown as the dotted line in Figure 2; note the fortunate happenstance that the reduction peak of benzaldehyde occurs at ca. -1.9 V, i.e., in the voltammetric "window" created by the current dip in the voltammogram of the bromo ketone. Cyclic voltammetry shows no anodic wave when the direction of potential scan is reversed at -2.0 V. The solid line in Figure 2 represents the voltammogram of an equimolar mixture of **4** and **5**. The peak due to **5** can be easily seen, bracketed by those due to **4**. This voltammogram is identical with a composite "voltammogram" created by digitizing and storing the individual voltammograms of **4** and **5**, summing the currents for **4** and **5** at each potential, and plotting the total currents as a function of potential. The fact that the latter curve is identical with the experimental voltammogram demonstrates that there is no interaction between the two species upon reduction, and is consistent with the results of the preparative electrolysis (vide infra). However, when LaBr₃ is added to the medium, *no reduction wave at -1.9 V due to benzaldehyde reduction is observed* (dashed line in Figure 2). We shall return to this significant observation in the Discussion.

Another informative set of experiments involved addition of increasing amounts of LaBr₃ (which is reduced at potentials well negative of -2.3 V) to a solution of **5**, such that the molar ratio of **5** to LaBr₃ was 1:1, 1:2, and 1:3, respectively (Figure 3). A new wave appears at ca. -1.6 V and increases with increasing concentration of LaBr₃. We hypothesized that this wave is associated with a (relatively) long-lived LaBr₃-benzaldehyde complex (**9**) (see Discussion) and were interested therefore in the association constant for the process (eq 2). This information is



available in principle from the relative heights of the two voltammetric waves, but because of the asymmetry of the LSV peak, the association constant was obtained more easily by measuring the relative heights of the plateaus or symmetrical peaks obtained by semiintegration¹² or semidifferentiation,¹³ respectively, of the experimental voltammogram. The voltammograms shown in Figure 3 correspond to values of the equilibrium constant K (eq 2) of 56, 77, and 59, respectively, or an average value of $65 \pm$

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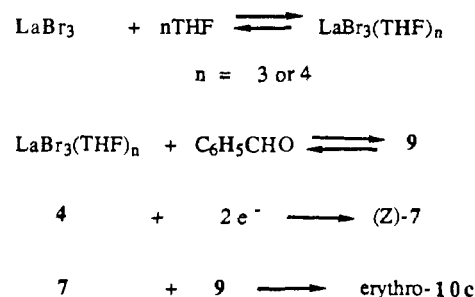
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association constant for complex formation is $65 \pm 20 \text{ M}^{-1}$. The association constant of bromo ketone **4** with LaBr_3 is apparently quite small, because no new wave appears in the voltammogram of **4** when LaBr_3 is added to the solution; **4** presumably complexes less well with LaBr_3 than does aldehyde **5** because of the steric bulk at the carbonyl group and the electron-withdrawing effect of the bromine atom (recall that the LaBr_3 molecule bears several bulky THF ligands). Since lanthanide ions are hard acids, it is quite reasonable that they should complex with carbonyl groups, of which the oxygen atom is a hard base, and indeed there are a number of literature for the existence of such complexes¹⁸ and also for the fact that aldehydes tend to complex with lanthanide(III) ions more strongly than do ketones.¹⁹ At the concentrations employed in the preparative electrolyses¹ (usually 70 mM each in **5**, **6**, and LaBr_3), some LaBr_3 is undissolved at the outset, although more dissolves as reaction proceeds and the solution is homogeneous at the end of the electrolysis (apparently the aldolate **10c** is soluble in THF). We have measured the solubility of LaBr_3 in THF as approximately 15 mM. From this datum and the association constant given above, we can calculate that aldehyde **6** exists in the solution ca. 17% in the form of its LaBr_3 complex **9** and 87% as the free aldehyde, while **4** is present as the free bromo ketone. The latter is presumably the actual electroactive species. It must form **7** on electrolysis. The voltammetry and preparative electrolysis results both demonstrate that **7** does not add to benzaldehyde. Yet the benzaldehyde wave is wholly absent when LaBr_3 is added to the solution, and the reaction between **7** and **4** is inhibited by the addition of LaBr_3 (vide supra). We conclude from this that the aldol condensation actually occurs between enolate **7** and the benzaldehyde- LaBr_3 complex **9**. From the time necessary to scan from -1.4 to -1.9 V, and presuming that at least 5% unreacted benzaldehyde could be detected in the voltammogram (dashed line in Figure 2), we estimate the lower limit for the rate constant of the overall process to be $100 \text{ M}^{-1} \text{ s}^{-1}$.

We mentioned above the intriguing dependence of the yield of **10a** upon the relative amount of LaBr_3 in the solution. The yield of aldol is highest when the ratio **4**: LaBr_3 is 1:1:1; that is, it is lower when the LaBr_3 proportion is either less than or greater than unity. The reason for the first of these is clear: the reactive carbonyl species is the complex **9**; irrespective of the value K for eq 2, the total amount of **9** available is governed by the amount of LaBr_3 present. It is less obvious at first sight why excess LaBr_3 should lower the yield of aldol. Run 13, in which the yield of aldol dropped drastically when a second equivalent of LaBr_3 was added to the medium after electrolysis was complete, provides an explanation for this effect. It constitutes clear evidence that these aldol condensations are reversible. Lanthanum aldolate **10c** can apparently dissociate, not to **7** to **9**, but rather to the relatively unreactive lanthanum enolate **8** (with this stronger La-O bond) and **5**, and the latter can then complex with the excess LaBr_3 to afford **9**. A reviewer advanced an alternative explanation, that the excess LaBr_3 could be competing with complex **9** as a trapping agent for lithio enolate **7**, but this is inconsistent with the fact that increasing amounts of LaBr_3 actually improve the yield of aldol in runs 1-5.

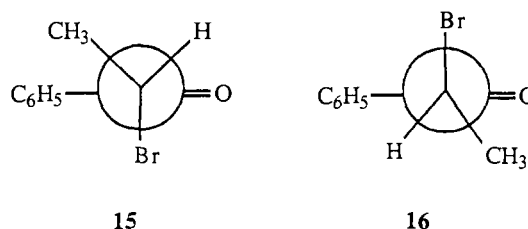
It is instructive to consider the stereochemical course of the aldol condensation reaction. Two stereoisomeric aldols can be formed in these reactions, *erythro*- and *threo*-**10a**. The stereochemistry of directed aldol condensations has been studied intensely over the last decade.²⁰ The results may be summarized briefly as follows. Under kinetic conditions (strong, bulky bases; low temperature) a ketone such as **6** affords the *Z* enolate in substantial excess over the *E* isomer. Furthermore, the *Z* enolate

Scheme I



reacts with most substrates to form the *erythro* aldol stereoselectively²⁰ as the kinetic product when the reactions are conducted for short times at low temperatures. On the other hand, *E* enolates generally react less stereoselectively and afford mixtures in which the *threo* aldol predominates. Furthermore, when the aldol condensation is carried out under equilibrating conditions (higher temperatures, longer times), mixtures containing predominantly the *threo* aldol are also produced. In the latter situations, the *threo*:*erythro* ratio is generally not high (frequently it is 1.5-2.5:1). We observed earlier that the lanthanide-assisted electrochemical reactions generally afford mixtures in which the *threo*:*erythro* ratio is greater than unity.¹ At that time it was not clear whether this mixture was produced under kinetic or thermodynamic control. Our present results now clearly establish the latter. We base this conclusion upon three facts. (a) The experiments involving 2 equiv of LaBr_3 demonstrate unequivocally that equilibrium between products and reactants does occur on the electrolysis time scale. (b) Electrochemical reduction of **4** in the presence of **13** affords almost exclusively the *Z* isomer of **14**. (c) Electrochemical reduction of bromo ketoester **11** in the presence of LaBr_3 affords a mixture of lactones **12** in which the *trans*:*cis* ratio is 6:1, whereas the equilibrium mixture of aldols, and therefore lactones, should be near 1:1.²⁰ To the extent that lactonization occurs, it inhibits reversion to starting materials, and hence the products should more closely approximate the kinetic ratio of aldolates.²¹ The *trans* lactone is derived from the corresponding *erythro* aldol. The ester group in **11** should not affect the stereochemistry of the condensation step. In general, therefore, these condensations presumably afford an initial *erythro*-rich mixture (at least 6:1) which equilibrates before workup to a mixture rich in the *threo* aldol. It will be recalled that this reaction is not quenched by a second equivalent of LaBr_3 ; apparently the second equivalent of LaBr_3 is tied up by complexation to the product lactone.

It is entirely reasonable that the *Z* enolate should be formed preferentially upon reduction of bromo ketones such as **4** at an electrode surface. Reduction of α -bromo ketones is known to occur from a conformation in which the carbon-bromine bond is perpendicular to the plane of the carbonyl group.²² There are two such conformations (**15** and **16**, written as Newman projections



along the bond connecting the carbonyl and alpha carbon atoms) available to **4** and other acyclic bromo ketones. Reduction of **15** requires that the methyl and phenyl groups rotate toward each

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(21) A referee suggested that the product lactones can equilibrate under the "strongly" conditions of the electrolysis; we consider this unlikely, inasmuch as methoxide ion is undoubtedly strongly bound to the oxophilic lanthanum(III) ion, thus greatly diminishing its basicity. The low nucleophilicity of such species has already been demonstrated herein.

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other as the enolate is formed. No such problem exists with **16**; hence this should be the preferred conformation for reduction, generating the *Z* enolate.

Our electrolysis and voltammetric results enable us to conclude that aldol formation does not arise by reaction either (a) the free enolate and uncomplexed benzaldehyde (run 1), (b) the lanthanum enolate and free benzaldehyde (run 10), or (c) the lanthanum enolate and the complexed aldehyde (run 13). We conclude that aldol formation involves the remaining alternative, condensation between the free enolate **7** and the lanthanum-complexed aldehyde **9**. It is possible at this point to summarize the sequence of events leading to aldol formation (Scheme I). Lanthanum bromide dissolves in THF, accompanied by complexation with three or four THF molecules. Solvated LaBr_3 is in equilibrium with free benzaldehyde and complex **9**. The (uncomplexed) bromo ketone **4** is reduced stereospecifically to its *Z*-enolate **7**, which then reacts rapidly with **9** to afford erythro lanthanum aldolate **10c** stereoselectively. The latter reaction is reversible, and ultimately an equilibrium mixture of erythro and threo aldols is produced.

The lanthanum enolate **8** and aldolate **10c** formed in these reactions are quite unreactive. Lithium enolate **7**, prepared either by treatment of **6** with LDA at -78°C or by electrochemical reduction of **4**, readily forms the enol silyl ether **14**. The yield of **14** is greatly reduced, however, if LaBr_3 is present in the medium (run 12). Apparently LaBr_3 can trap the enolate faster than can trimethylchlorosilane. Similarly, the stereoisomeric mixture of aldols **10a** can be converted to the corresponding silyl ethers **10b** by reaction with **13**, but addition of **13** to a 1:1:1 mixture of **4**, **5**, and LaBr_3 after electrolysis is complete does not result in formation of the silylated aldols (run 9). The low reactivity of **8** and **10c** toward electrophiles such as **5** and **13** is presumably associated with the strength of the lanthanum-oxygen bond and concomitant diminished nucleophilicity of the enolate oxygen; this is why the reactions are not catalytic in LaBr_3 . The mechanism shown in Scheme I also explains why yields of aldol adduct are lower when the bromo ketone and/or aldehyde is sterically congested, and why ketones are poor carbonyl acceptors in these reactions.¹ The reactive species toward the enolate is not the carbonyl compound itself, but rather a carbonyl compound-lanthanide complex, in which the metal ion is also coordinated to several solvent molecules. This should cause substantial steric hindrance in reactions in which the enolate ion bears a bulky group; the complex itself should be weaker when the carbonyl compound bears a bulky substituent.

Experimental Section

General. Lanthanum bromide was prepared as previously described.¹ Lithium perchlorate was dried in an Abderhalden apparatus at 111°C overnight over P_2O_5 . Tetrahydrofuran (THF) was distilled from benzophenone sodium ketyl immediately before use, and injected directly into the sealed dried cell. Voltammograms were measured in 25 mL of tetrahydrofuran in a commercial (Brinkmann) cell containing 0.5 M LiClO_4 and the electroactive substance (ca. 4 mM). Linear sweep and cyclic voltammograms were carried out using a PAR Model 170 elec-

trochemistry system at a sweep rate of 200 mV/s relative to a Ag/AgNO_3 reference electrode.⁵ The working electrode for voltammetry, a glassy carbon electrode (Bioanalytical Systems), was freshly polished prior to each measurement, using Sargent-Welch polishing alumina No. 2. Linear sweep voltammetric data were recorded on a Datalabs DL901 transient recorder and transferred to an AT&T 6300 computer for storage, data processing, and plotting on a Hewlett-Packard digital plotter. Semiintegrals were computed using a standard algorithm.²³ Semiderivatives were obtained by differentiating the semiintegral curve using the differentiation option in the Hyperplot© (Columbus, Ohio) data processing-plotting package.

Methyl 3-Bromo-3-benzoylpropionate (11). A solution of Br_2 (7.4 g, 46 mmol) in 25 mL of acetic acid was added slowly to a solution of methyl 3-benzoylpropionate²⁴ (8.9 g, 46 mmol) in 25 mL of acetic acid over a 1-h interval. After addition was complete, the solution was stirred overnight, at which point it was pale yellow. The solution was poured into 75 mL of dilute NaHCO_3 and then extracted with 100 mL of CH_2Cl_2 . After washing with water, the organic extract was dried over Na_2SO_4 and the solvent removed by rotary evaporation. Distillation afforded methyl 3-bromo-3-benzoylpropionate (**11**) as a pale yellow liquid, 9.98 g (80%), bp $144\text{--}147^\circ\text{C}$ (0.2 mm): NMR (Silanor) δ 7.9–8.1 (m, 2 H), 7.3–7.7 (m, 3 H), 3.7 (s, 3 H), 5.1 (dd, 1 H, $J_{\text{AX}} = 8.4$ Hz, $J_{\text{BX}} = 5.7$ Hz), 3.50 (dd, 1 H, $J_{\text{AB}} = 17.4$ Hz, $J_{\text{AX}} = 8.4$ Hz), 3.12 (dd, 1 H, $J_{\text{AB}} = 17.4$ Hz, $J_{\text{BX}} = 5.7$ Hz).

Preparative Electrolyses. Preparative electrolyses were carried out in a magnetically stirred divided cell in which the anode compartment was a Coors porcelain cup No. 60494 containing a platinum anode immersed in 40 mL of 0.5 M LiClO_4 . The cathode was a carbon cloth²⁵ electrode (Union Carbide Corp. No. X2014 WCA graphite cloth). The cell was chilled in ice and purged with nitrogen, after which a constant current of 200 mA was passed for 100% of the calculated time for a two-electron reduction of the bromo ketone. The electrolyses were worked up as previously described, except for runs 9, 11, and 12. In the latter experiments, the reaction mixture was poured into saturated NaHCO_3 and extracted with hexane. The organic layer was then washed once with dilute NaHCO_3 , dried over MgSO_4 , and filtered; the filtrate was then evaporated on a rotary evaporator. Product analyses were carried out by quantitative HPLC as previously described.¹ Mixtures containing silylated products were analyzed using an eluent consisting of 60:40 methanol-water.

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Registry No. **4**, 2114-00-3; **5**, 100-52-7; **6**, 93-55-0; *erythro*-**10a**, 71908-02-6; *threo*-**10a**, 71908-03-7; **11**, 26551-48-4; *trans*-**12**, 95891-68-2; **13**, 75-77-4; (*Z*)-**14**, 66323-99-7; (*E*)-**14**, 71268-59-2; *cis*-**12**, 95891-69-3; LaBr_3 , 13536-79-3; lithium diisopropylamide, 4111-54-0.

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